

STATE OF ALABAMA
DEPARTMENT OF INSURANCE
FOREIGN Life, Accident and Health Companies

SPECIAL INSTRUCTIONS FOR FILING PREMIUM TAX and FINANCIAL STATEMENTS

IMPORTANT INFORMATION

It is necessary to include your NAIC number in the spaces provided. Do not use the federal identification number in place of your NAIC number.

THE ITEMS BELOW ARE DUE BY MARCH 1ST

1. Annual Premium Tax Return, applicable Taxes and Fees. Retaliatory Statement and Applicable Tax

POSTAL SERVICE

Alabama Department of Insurance
c/o Compass Bank
P. O. Box 830691
Birmingham, AL 35283-0691

COURIER OR EXPRESS SERVICE

Alabama Department of Insurance
c/o Compass Bank
701 South 32nd Street
Birmingham, AL 35233

2. \$750.00 Annual Audit and Examination Fee and Transmittal Form

POSTAL SERVICE

Alabama Department of Insurance
c/o Compass Bank
P. O. Box 830707
Birmingham, AL 35283-0707

COURIER OR EXPRESS SERVICE

Alabama Department of Insurance
c/o Compass Bank
701 South 32nd Street
Birmingham, AL 35233

THE FOLLOWING ITEMS SHOULD BE ATTACHED TO A COMPLETED NAIC CHECKLIST AND MAILED TO:

POSTAL SERVICE

Alabama Department of Insurance
P.O. Box 303351
Montgomery, AL 36130-3351

COURIER OR EXPRESS SERVICE

Alabama Department of Insurance
201 Monroe Street, Suite 1700
Montgomery, AL 36104

3. Provide two (2) forms of documentation in support of deductions taken on the Premium Tax Return – Copies of cancelled checks and tax returns, assessment notices or invoices.
4. If premium taxes are being paid at less than the maximum tax rate because of policies which have a face amount of \$25,000 or less, Group policies with less than 50 participants, or tax-exempt premiums, a detailed policy run must be submitted.
5. Official List
6. Alabama Business page
7. Application for License Renewal
8. Certificate of Compliance
9. Certificate of Deposit – Failure to submit a current Certificate of Deposit may result in the rejection of your Certificate of Authority Renewal Application.
10. Certificate of Valuation

FILING REQUIREMENTS – Fees – The Annual Statement Filing Fee and License Renewal Fee Must be Filed with Annual Premium Tax Return.

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|---------------------------------|----------------|---------|
| 1. Annual Statement Filing Fee: | | \$25.00 |
| 2. License Renewal Fees: | Life Companies | 505.00 |

SUPPLEMENTAL FILINGS—DUE DATES

Supplemental filings made with a Company's state of domicile and the NAIC do not have to be filed with the Alabama Department of Insurance. Documents can be retrieved electronically from the NAIC database. Please see the NAIC Annual Statement Instructions for further Instructions concerning Supplemental filings.

Foreign Insurers are not required to file Quarterly Financial Statements with the Alabama Department of Insurance.

ALL FILINGS WITH THE ALBAMA DEPARTMENT OF INSURANCE MUST BE MAILED BY THE U. S. POSTAL SERVICE OR COURIER. HAND DELIVERY IS NOT ACCEPTABLE

CONTACT PERSONS

All questions relating to premium tax should be addressed to the Department of Insurance, Examiners Division:	
Ann Strickland (334-241-4154)	Ann.Strickland@insurance.alabama.gov
Belinda Williams (334-241-4162)	Belinda.Williams@insurance.alabama.gov
Todrick Burks (334-241-4163)	Todrick.Burks@insurance.alabama.gov